

# TIGER RISK (PTY) LTD

## Specialty Advisors

### FSP DISCLOSURE & COMPANY INFORMATION STATEMENT

Regulatory Compliance

This statement contains important information about Tiger Risk (Pty) Ltd and our Financial Services Provider (FSP) status. Please read carefully.

#### 1. COMPANY IDENTIFICATION

Registered Name	Tiger Risk (Pty) Ltd
Trading Name	Tiger Risk
Registration Number	1995/000561/07
FSP Licence Number	16539
Physical Address	37 Vineyard Road, Claremont, 7708, Western Cape
Telephone	+27 (0) 82 659 2293
Email	info@tigerrisk.co.za

#### 2. FINANCIAL SERVICES PROVIDER (FSP) AUTHORISATION

Tiger Risk (Pty) Ltd is registered with the Financial Sector Conduct Authority (FSCA) as a Financial Services Provider (FSP) under Licence Number 16539.

We are authorised to provide intermediary services in the following categories:

- Short-term insurance (short-term policies)

To verify Tiger Risk's FSP status and licence details, you may contact the Financial Sector Conduct Authority at [www.fsca.org.za](http://www.fsca.org.za) or call +27 (0)10 202 7700.

#### 3. KEY INDIVIDUAL & COMPLIANCE OFFICER

Key Individual (FSCA)	Derek Muller
Compliance Officer	Francois Meintjies, Moonstone Compliance (Pty) Ltd

#### 4. INDEPENDENCE STATUS

Tiger Risk is an independent insurance broker. We are not tied to any single insurer and place business with multiple insurance providers, and specialist underwriting managers.

#### 5. REGULATORY FRAMEWORK

Our operations are regulated by the Financial Sector Conduct Authority (FSCA) under the following legislation:

- Financial Advisory and Intermediary Services Act, 2002 (FAIS Act)
- General Code of Conduct for Authorised Financial Services Providers
- Short-term Insurance Act, 1998
- Protection of Personal Information Act, 2013 (POPIA)
- Companies Act, 2008
- Income Tax Act, 1962

## 6. PROFESSIONAL INDEMNITY INSURANCE

Tiger Risk maintains professional indemnity insurance in compliance with FAIS Act requirements:

R 3,000,000 per claim / R 9,000,000 aggregate per annum

## 7. DISCLAIMER

This disclosure statement is provided to inform clients of Tiger Risk's regulatory status and operating framework. It does not constitute financial advice. Before entering into any insurance transaction, clients should obtain appropriate professional advice and read all policy documentation carefully.

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Tiger Risk (Pty) Ltd | FSP No. 16539 | 37 Vineyard Road, Claremont, 7708 | +27 (0) 82 659 2293